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FORM PTO-1390
(REV. 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER
E02P01US

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. § 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.15)

09/869184

PRIORITY DATE CLAIMED
10/20/1999

INTERNATIONAL APPLICATION NO.
PCT/DE00/03701

INTERNATIONAL FILING DATE
10/20/2000

TITLE OF INVENTION Illumination Method, Illumination System and the Components Thereof, Especially for Illuminating Hollow Bodies such as Signs, Inscriptions, Letters, Especially Relief Letters and the Like as well as a Sign, an Inscription and a Letter, Especially Relief Letter with Illumination

APPLICANT(S) FOR DO/EO/US

Marcus Menden

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1 ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. § 371 ☐
- 2 ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. § 371 ☐
- 3 ☒ This is an express request to begin national examination procedures (35 U.S.C. § 371(f)) ☐ The submission must include items (5), (6), (9) and (21) indicated below ☐
- 4 ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31) ☐
- 5 ☒ A copy of the International Application as filed (35 U.S.C. § 371(c)(2)) ☐
- a ☐ is attached hereto (required only if not communicated by the International Bureau) ☐
- b ☒ has been communicated by the International Bureau ☐
- c ☐ is not required, as the application was filed in the United States Receiving Office (RO/US) ☐
- 6 ☒ An English language translation of the International Application as filed (35 U.S.C. § 371(c)(2)) ☐
- a ☒ is attached hereto ☐
- b ☐ has been previously submitted under 35 U.S.C. § 54(d)(4) ☐
- 7 ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3)) ☐
- a ☐ are attached hereto (required only if not communicated by the International Bureau) ☐
- b ☐ have been communicated by the International Bureau ☐
- c ☐ have not been made; however, the time limit for making such amendments has NOT expired ☐
- d ☐ have not been made and will not be made ☐
- 8 ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. § 371(c)(3)) ☐
- 9 ☒ An oath or declaration of the inventor(s) (35 U.S.C. § 371(c)(4)) ☐
- 10 ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. § 371(c)(5)) ☐

Items 11 to 20 below concern document(s) or information included:

- 11 ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98 ☐
- 12 ☐ An assignment document for recording ☐ A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included ☐
- 13 ☒ A **FIRST** preliminary amendment ☐
- 14 ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment ☐
- 15 ☐ A substitute specification ☐
- 16 ☐ A change of power of attorney and/or address letter ☐
- 17 ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter2 and 35 U.S.C. § 1821 - 1.825 ☐
- 18 ☐ A second copy of the published international application under 35 U.S.C. § 54(d)(4) ☐
- 19 ☐ A second copy of the English language translation of the international application under 35 U.S.C. § 54(d)(4) ☐
- 20 ☒ Other items or information:
Application Data Sheet

"Express Mail" Label # EF 419 678 483 US - I hereby certify that this paper or fee is being deposited with the USPS "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on 06/20/2001, and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Gudrun E. Hockett
Gudrun E. Hockett, Patent Agent

U.S. APPLICATION NO. (If known, see 37 CFR 1.53)

INTERNATIONAL APPLICATION NO.

ATTORNEY'S DOCKET NUMBER

09/86918

PCT/DE00/03701

E02P01US

17. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :**

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO \$1000.00

International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but
international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)
but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)
and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$ 860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	38 - 20 =	18	X \$18.00	\$ 324.00	
Independent claims	3 - 3 =	0	X \$80.00	\$ 0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$	

TOTAL OF ABOVE CALCULATIONS =

\$ 1184.00

☒ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above
are reduced by 1/2.

\$ 592.00

SUBTOTAL =

\$ 592.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

TOTAL NATIONAL FEE =

\$ 592.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$

TOTAL FEES ENCLOSED =

\$ 592.00

Amount to be refunded:	\$
charged:	\$

a. ☒ A check in the amount of \$ 592.00 to cover the above fees is enclosed. (check # 1236)

b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 50-1199. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Gudrun E. Hockett, Patent Agent
P.O. Box 3187
Albuquerque, NM 87190-3187

SIGNATURE:

Gudrun E. Hockett

NAME

35,747

REGISTRATION NUMBER

20 June 2001